



WISCONSIN REGULATORY DIGEST

A Publication of the
**DEPARTMENT OF REGULATION AND LICENSING
FOR AUCTIONEERS AND AUCTION COMPANIES**

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Registration Statistics As of 1/16/97

Auctioneers - 1,064

- 147 non-renewals
- 110 temporary registrations
- 954 renewed registrations

Auction Companies - 135

- 31 non-renewals
- 135 renewed registrations

Exam Fees For Temporary Registrants

The fees for the initial exam and for retaking the exam have been \$101.00 for standard registrations and \$106.00 for express registrations. Effective on March 1, 1997, the fees will be \$105.00 and \$110.00.

New Rules Relating to Continuing Education

The new rules in Chapter RL 128 became effective on December 1, 1996. The final version of

the rules was quite similar to that which was published in the February, 1996, issue of the Regulatory Digest. However, the following changes were made after negotiations with the Assembly Committee on Consumer Affairs:

1. There will be no exam at the end of continuing education courses.
2. The continuing education requirement will only be in existence during 1997-98. After that, there will no longer be a continuing education requirement. This means that registrants whose registration will expire on January 1, 1999, will be required to complete 9 hours of continuing education before January 1, 1999, in order to renew their registration. If they do not obtain it by that date, their registration will not be renewed until they do obtain it and they will not be able to legally conduct or manage an auction until they obtain a renewed registration certificate.

3. In lieu of completing the 9 hours of continuing education, registrants may take a 50 question test-out exam by completing an application,

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sending the application and exam fee of \$43 to the Department and taking a paper/pencil exam which will be administered by the Department on a regular basis from July 1, 1997 to December, 1998. Exam application materials and other related information will be available at a later date.

4. Non-resident registrants will be required to attend approved courses in Wisconsin or to take the test-out exam in Wisconsin.

Please refer to the following pages for a summary of the requirements which you must

satisfy later this year or sometime in 1998 and for a copy of the final rule.

Approved Continuing Education **Course Providers**

Application materials are now available from the Department for persons who wish to be approved by the Department as a continuing education program provider ("school") or as an instructor for an approved school.

1997-98 CONTINUING EDUCATION REQUIREMENTS

All auctioneers whose registration certificate will expire on January 1, 1999, must satisfy the continuing education requirement during the 1997-98 biennium in order to renew their registration in a timely manner at the end of 1998. Auctioneers may complete the 1997-98 continuing education after January 1, 1999; however, their registration may not be renewed until they complete the education and pay a late renewal fee of \$25. They may not manage or conduct any auctions until they have received a renewal certificate.

There are two basic alternatives for completing the requirement:

ALTERNATIVE #1: Continuing Education Courses Approved by the Department

3 courses of at least 3 hours in length at a program provider ("school") approved by the Department. A course must be approved by the Department before a school may grant a certificate of attendance to a registrant.

- Course A Auction Contracts; Trust Accounts; Conduct
- Course B Registration Requirements; Advertising
- Course C Real Estate Auctions; Federal and State Laws Related to Auctions

The Department will publish more information about approved program providers, courses, dates, times and locations in future issues of the Regulatory Digest.

ALTERNATIVE #2: Continuing Education Test-Out Exam

This alternative will be available from July 1, 1997, until December, 1998. The test-out exam will be administered by the Department. An exam brochure will be available before July 1, 1997. It will describe the contents of the exam and the dates, times and places where the exam will be administered. The cost will be \$43.

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